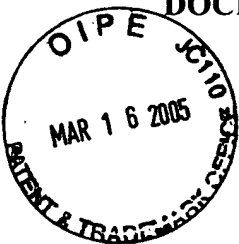


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DOCKET NO.: MSFT-1744/303845.1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Michael L. Brundage, et al.

Confirmation No.: 1383

Application No.: 10/601,480

Group Art Unit: 2171

Filing Date: June 23, 2003

Examiner: Not Yet Assigned

For: QUERY OPTIMIZER SYSTEM AND METHOD

DATE OF DEPOSIT:

3/14/05

I HEREBY CERTIFY THAT THIS PAPER IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL, POSTAGE PREPAID, ON THE DATE INDICATED ABOVE AND IS ADDRESSED TO THE UNITED STATES PATENT AND TRADEMARK OFFICE, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450.

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REGISTRATION NO.: 50,800

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Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of

the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

- ☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

- ☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

- ☒ Copies of reference numbers **6, 7, 8** listed on the attached Form PTO-1449 are enclosed herewith.

- ☐ Copies of reference numbers - on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

- ☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.


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PATENT

Enclosed is a copy of the EPO European Search Report dated **February 4, 2005**, which indicates the reference(s) to be relevant.

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

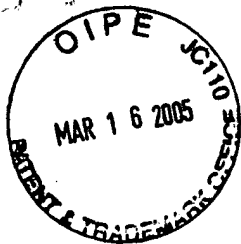
Date: 3/14/05



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Form PTO-1449 Modified List of Patent and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office	Docket No. MSFT-1744/303845.1		Application No. 10/601,480	
	Applicant Michael L. Brundage, et al.			
	Filing Date June 23, 2003		Group 2171	
	Confirmation No. 1383			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)				
	6	Zhang, X. et al. "Honey, I Shrunk the XQuery!- An XML Algebra ptimization Approach", <i>Proceedings of the International Workshop on Web Information and Data Management, 2002</i> , 1-14, XP-002316448		
	7	Zhang, X., et al., "Rainbow: Multi-XQuery Optimization Using Materialized XML News", <i>SIGMOD</i> , June 9, 2003 , 1 page, XP-002316449		
EXAMINER		DATE CONSIDERED		

Form PTO-1449 Modified

List of Patent and Publications
Cited by Applicant
(Use several sheets if necessary)

U.S. Department of Commerce
Patent and Trademark Office

Docket No.
MSFT-1744/303845.1

Application No.
10/601,480

Applicant
Michael L. Brundage, et al.

Filing Date
June 23, 2003

Group
2171

Confirmation No.
1383

U. S. PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Name	Class	Subclass

FOREIGN PATENT DOCUMENTS

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	8	WO 02/35395 A2	05/02/02	PCT		

EXAMINER**DATE CONSIDERED**